

ROCKHAMPTON PARISH COUNCIL

ANNUAL REPORT

2016-2017

To comply with the Smaller Authorities (Transparency Requirements) (England) regulations 2015/494
Transparency Obligations for Parish Councils with an Annual Turnover not exceeding £25,000 (England only)

CONTENTS

1. List of Councillors.....	3
2. Details of Expenditure.....	3
3. Annual Statements of Accounts.....	4
4. Annual Governance Statement.....	7
5. Annual Internal Audit.....	8
6. Details of Public Land and Assets.....	9

1. List of Councillors

The following gives details of the Councillors and any responsibilities they may have.

Name of Councillor	Responsibilities
Mr Paul Bates	Chair
Mr Tom Culimore	Councillor
Mrs Sandie Goode	Councillor & Member of Village Hall Committee
Mr John Rolfe	Councillor
Mrs Tina Mitchell Skinner	Councillor
Mr Matthew Riddle	Severn Ward Councillor

2. Details of Expenditure

The following gives details of any payments over £100

Date	Description/Purpose	Amount ex VAT	VAT
08/04/16	AON Insurance	201.00	N/A
08/04/16	Clerk Salary	325.00	N/A
05/12/16	Clerk Salary	487.50	N/A
17/01/17	Clerk Salary	325.00	N/A

3. Annual Statement of Accounts

The following gives details of the Statement of Accounts along with any supporting documentation – Section 2 of the External Audit Annual Return including a bank reconciliation and explanation of any significant variances.

Section 2 – Accounting statements 2016/17 for

Enter name of smaller authority here:

ROCHAMPTON PARISH COUNCIL

	Year ending		Notes and guidance
	31 March 2016 £	31 March 2017 £	
1. Balances brought forward	3759	3533	Total balances and reserves at the beginning of the year as recorded in the financial records. Value must agree to Box 7 of previous year.
2. (+) Precept or Rates and Levies	1250	1250	Total amount of precept (or for IDBs, rates and levies) received or receivable in the year. Exclude any grants received.
3. (+) Total other receipts	25	921	Total income or receipts as recorded in the cashbook less the precept or rates/levies received (line 2). Include any grants received.
4. (-) Staff costs	975	1138	Total expenditure or payments made to and on behalf of all employees. Include salaries and wages, PAYE and NI (employees and employers), pension contributions and employment expenses.
5. (-) Loan interest/capital repayments	0	0	Total expenditure or payments of capital and interest made during the year on the smaller authority's borrowings (if any).
6. (-) All other payments	997	440	Total expenditure or payments as recorded in the cashbook less staff costs (line 4) and loan interest/capital repayments (line 5).
7. (=) Balances carried forward	3062	4126	Total balances and reserves at the end of the year. Must equal (1+2+3) – (4+5+6)
8. Total value of cash and short term investments	3062	4126	The sum of all current and deposit bank accounts, cash holdings and short term investments held as at 31 March – To agree with bank reconciliation.
9. Total fixed assets plus long term investments and assets	3,000	3,000	This cell shows the value of all the property the authority owns. It is made up of its fixed assets and long-term investments.
10. Total borrowings	0	0	The outstanding capital balance as at 31 March of all loans from third parties (including PVLB).
11. (For Local Councils Only) Disclosure note re Trust funds (including charitable)		Yes No	The Council acts as sole trustee for and is responsible for managing Trust funds or assets. N.B. The figures in the accounting statements above do not include any Trust transactions.

I certify that for the year ended 31 March 2017 the accounting statements in this annual return present fairly the financial position of this smaller authority and its income and expenditure, or properly present receipts and payments, as the case may be.

Signed by Responsible Financial Officer:

[Signature]

Date: 08/05/2017

I confirm that these accounting statements were approved by this smaller authority on:

08/05/2017

and recorded as minute reference:

5/080517-11.4 A2 PAGE 2

Signed by Chair at meeting where approval is given:

[Signature]

ROCKHAMPTON PARISH COUNCIL

FINANCIAL STATEMENT FOR YEAR
ENDED 31 MARCH 2017

RECEIPTS		PAYMENTS	
Balance brought forward	£ 3532.95	Clerk Salary	£ 325.00
Precept	£ 1250.00	Rockhampton Village Hall	£ 37.50
Other (LCTSG)	£ 29.00	Website	£ 67.25
	<u>£ 4811.95</u>	AON Insurance	£ 201.00
HMRC Refund	£ 92.40	ALCA Subscription	£ 30.00
Transparency Grant	£ 800.00	4Ward	£ 40.00
		Clerk Salary	£ 487.50
Nat West Bank Interest	£ 0.53	S G CAB	£ 25.00
		Five Active benefice	£ 40.00
		Clerk Salary	£ 325.00
			<u>£ 1578.25</u>
		Total Expenditure:	
			£ 1578.25
		Balance carried forward	£ 4126.63
		Unpresented cheques	£ 0.00
	<u>£ 5704.88</u>		<u>£5704.88</u>



Schedule C1

Identifying which variances require explanation

Positive and negative variances must be explained

Authority name and reference	ROCKHAMPTON PARISH COUNCIL AVN102				
Box on Section 2 Accounting Statements	(a) 2016 £	(b) 2017 £	(c) Variance Increase(-) or decrease(+) (b - a) £	(d) Variance divided by 2016 figure times 100 (c / a *100) %	Explanation required? Less than £250 and 15%? - NO More than 15%? - YES
Box 2 -Precept or Rates and Levies	1250	1250	NIL	0	NO
Box 3 -Total other receipts	25	921	+896	35.8	YES
Box 4 -Staff costs	975	1138	+163	17.0	YES
Box 5 -Loan interest/ capital repayments	NIL	NIL	NIL	0	NO
Box 6 -All other payments	997	440	-557	-56.0	YES
Box 9 -Total fixed assets plus long-term investments and assets	3,000	3,000	NIL	0	NO
Box 10 - Total borrowings	NIL	NIL	NIL	0	NO

Please complete all shaded boxes and send this form with your Annual Return to:
Mrs Jo Farr, Grant Thornton UK LLP, Hartwell House, 55-61 Victoria Street, Bristol, BS1 6FT
or email to Jo.farr@uk.gt.com

4. Annual Governance Statement

The following gives details of the Annual Governance Statement and explanations for any negative comments, if necessary. Section 1 of the External Audit Annual return.

Section 1 – Annual governance statement 2016/17

We acknowledge as the members of:

Enter name of
smaller authority here

ROCKHAMPTON PARISH COUNCIL

our responsibility for ensuring that there is a sound system of internal control, including the preparation of the accounting statements. We confirm, to the best of our knowledge and belief, with respect to the accounting statements for the year ended 31 March 2017, that:

	Agreed		"Yes" means that this smaller authority:
	Yes	No	
1. We have put in place arrangements for effective financial management during the year, and for the preparation of the accounting statements.	✓		prepared its accounting statements in accordance with the Accounts and Audit Regulations.
2. We maintained an adequate system of internal control, including measures designed to prevent and detect fraud and corruption and reviewed its effectiveness.	✓		made proper arrangements and accepted responsibility for safeguarding the public money and resources in its charge.
3. We took all reasonable steps to assure ourselves that there are no matters of actual or potential non-compliance with laws, regulations and proper practices that could have a significant financial effect on the ability of this smaller authority to conduct its business or on its finances.	✓		has only done what it has the legal power to do and has complied with proper practices in doing so.
4. We provided proper opportunity during the year for the exercise of electors' rights in accordance with the requirements of the Accounts and Audit Regulations.	✓		during the year gave all persons interested the opportunity to inspect and ask questions about this authority's accounts.
5. We carried out an assessment of the risks facing this smaller authority and took appropriate steps to manage these risks, including the introduction of internal controls and/or external insurance cover where required.	✓		considered the financial and other risks it faces and has dealt with them properly.
6. We maintained throughout the year an adequate and effective system of internal audit of the accounting records and control systems.	✓		arranged for a competent person, independent of the financial controls and procedures, to give an objective view on whether internal controls meet the needs of this smaller authority.
7. We took appropriate action on all matters raised in reports from internal and external audit.	✓		responded to matters brought to its attention by internal and external audit.
8. We considered whether any litigation, liabilities or commitments, events or transactions, occurring either during or after the year-end, have a financial impact on this smaller authority and, where appropriate have included them in the accounting statements.	✓		disclosed everything it should have about its business activity during the year including events taking place after the year-end if relevant.
9. (For local councils only) Trust funds including charitable. In our capacity as the sole managing trustee we discharged our accountability responsibilities for the fund(s)/assets, including financial reporting and, if required, independent examination or audit.	Yes	No	NA
			has met all of its responsibilities where it is a sole managing trustee of a local trust or trusts.
		✓	

This annual governance statement is approved by this smaller authority on:

08/05/2017

and recorded as minute reference:

S/080517-11-3 A1 PAGE 2

Signed by Chair at meeting where approval is given:

Clerk:

*Note: Please provide explanations to the external auditor on a separate sheet for each 'No' response. Describe how this smaller authority will address the weaknesses identified.

5. Annual Internal Audit

The following gives details of the Internal Audit and explanations for any negative comments, if necessary. Section 3 (Annual Internal Audit Report).

Annual internal audit report 2016/17 to

Enter name of smaller authority here:

ROCKHAMPTON PARISH COUNCIL

This smaller authority's internal audit, acting independently and on the basis of an assessment of risk, carried out a selective assessment of compliance with relevant procedures and controls expected to be in operation during the financial year ended 31 March 2017.

Internal audit has been carried out in accordance with this smaller authority's needs and planned coverage. On the basis of the findings in the areas examined, the internal audit conclusions are summarised in this table. Set out below are the objectives of internal control and alongside are the internal audit conclusions on whether, in all significant respects, the control objectives were being achieved throughout the financial year to a standard adequate to meet the needs of this smaller authority.

Internal control objective	Agreed? Please choose only one of the following		
	Yes	No*	Not covered**
A. Appropriate accounting records have been kept properly throughout the year.	✓		
B. This smaller authority met its financial regulations, payments were supported by invoices, all expenditure was approved and VAT was appropriately accounted for.	✓		
C. This smaller authority assessed the significant risks to achieving its objectives and reviewed the adequacy of arrangements to manage these.	✓		
D. The precept or rates requirement resulted from an adequate budgetary process; progress against the budget was regularly monitored, and reserves were appropriate.	✓		
E. Expected income was fully received, based on correct prices, properly recorded and promptly banked; and VAT was appropriately accounted for.	✓		
F. Petty cash payments were properly supported by receipts, all petty cash expenditure was approved and VAT appropriately accounted for.	✓		
G. Salaries to employees and allowances to members were paid in accordance with the smaller authority's approvals, and PAYE and NI requirements were properly applied.	✓		
H. Asset and investments registers were complete and accurate and properly maintained.	✓		
I. Periodic and year-end bank account reconciliations were properly carried out.	✓		
J. Accounting statements prepared during the year were prepared on the correct accounting basis (receipts and payments or income and expenditure), agreed to the cash book, supported by an adequate audit trail from underlying records and where appropriate debtors and creditors were properly recorded.	✓		

K. (For local councils only) Trust funds (including charitable) – The council met its responsibilities as a trustee.	Yes	No	Not applicable

For any other risk areas identified by this smaller authority adequate controls existed (list any other risk areas below or on separate sheets if needed)

F. NO PETTY CASH/OTHER PAYMENTS BY CHEQUE CHECKED.

Name of person who carried out the internal audit: JANE SPRACKMAN

Signature of person who carried out the internal audit: [Signature] 2/05/2017

*If the response is 'no' please state the implications and action being taken to address any weakness in control identified (add separate sheets if needed).

**Note: If the response is 'not covered' please state when the most recent internal audit work was done in this area and when it is next planned, or, if coverage is not required, internal audit must explain why not (add separate sheets if needed).

6. Details of Public Land and Assets

The following gives details of any building and public land the Parish Council maintains.

Date of Acquisition	Description	Location	Cost	Owner
1992	Public land	Village Hall car part	£600	Parish Council
2009	Notice Board	Village Triangle	£418	Parish Council
1977	Queen's Jubilee Bench	Village Green	>£500	Parish Council
2000	Millennium Bench	Village Green	£200	Parish Council
2011	BT Telephone Box	Lower Stone Road	£1	Parish Council